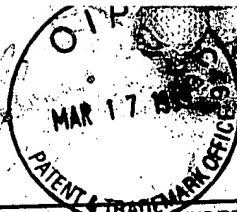


B3



UNITED STATES DEPARTMENT OF COMMERCE
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/221,291 12/23/98 GRAHAM M ANDY.001US0

020227 0232/0122
MAJESTIC PARSONS STEBERT & HSUE
FOUR EMBARCADERO CENTER SUITE 1100
SAN FRANCISCO CA 94111-4106

NOT ASSIGNED

2734

DATE MAILED:

01/22/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed) ☒ non-small entity is \$ 870.00

The statutory basic filing fee is:

- ☒ missing
- ☒ insufficient

Applicant must submit \$ 760.00 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2 Additional claim fees of \$ _____, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3 The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4 The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5 The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6 A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7 Your filing receipt was mailed in error because your check was returned without payment.

☐ 8 The application does not comply with the Sequence Rules.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9 OTHER

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

W. Meredith
Customer Service Center
Initial Patent Examination Division (703) 308-1202

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C:201
C:205

Sector #

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

MARTIN H. GRAHAM

Serial No.: 09/221,291

Filed: December 23, 1998

For: BIPHASE MULTIPLE LEVEL
COMMUNICATIONS



Attention:
BOX MISSING PARTS

San Francisco, California

BOX MISSING PARTS

Assistant Commissioner of Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner of Patents, Washington, D.C. 20231 on March 15, 1999.

Tammy L. Kaplan

Signature

3/15/99

Date

TRANSMITTAL OF MISSING PARTS

Sir:

Transmitted herewith are the missing parts of the above-identified application:

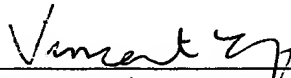
1. A Patent Application Declaration with Power of Attorney.
2. An Assignment by the Inventor to AND YET, INC., Form PTO-1595, and a check in the amount of \$40.00 to cover its recordal fee.
3. A Declaration Claiming Small Entity Status - Small Business Concern.
4. A copy of the Notice to File Missing Parts of Application (PART 2), and a check in the amount of \$445.00 to cover the filing fee of \$380.00 and the missing parts surcharge of \$65.00.

5. A Disclosure Statement, Form PTO-1449, and copies of the references cited therein.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 13-1030. A duplicate copy of this sheet is enclosed.

Dated: March 15, 1999

Respectfully submitted,



Vincent K. Yip, Reg. No. 42,245
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Four Embarcadero Center, Suite 1100
San Francisco, California 94111-4106
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Atty. Docket: ANDY.001US0